



# Audit and Governance Committee

A meeting of the Audit and Governance Committee will be held at the The Jeffrey Room, The Guildhall, Northampton on Wednesday 16 November 2022 at 6.00 pm

## Agenda

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| 1. | <b>Apologies for Absence and Notification of Substitute Members</b>   |
| 2. | <b>Declarations of Interest</b><br>Members are asked to declare any interest and the nature of that interest which they may have in any of the items under consideration at this meeting. |
| 3. | <b>Minutes</b> (Pages 5 - 10)<br>To confirm the Minutes of the meeting of the Committee held on 28 September 2022.  |
| 4. | <b>Chair's Announcements</b><br>To receive communications from the Chair.   |
| 5. | <b>Urgent Business</b><br>The Chair to advise whether they have agreed to any items of urgent business being admitted to the agenda.  |
| 6. | <b>Internal Audit Update</b> (Pages 11 - 18)  |
| 7. | <b>External Audit Progress report - Grant Thornton</b><br>Verbal Update   |
| 8. | <b>External Audit Progress report - EY</b><br>Verbal Update   |

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| <b>9.</b>  | <b>Internal Audit - Home to School Transport Limited Assurance Report</b> (Pages 19 - 50) |
| <b>10.</b> | <b>Update on Budget setting</b><br>Verbal update from the Executive Director of Finance.  |
| <b>11.</b> | <b>Strategic Risk Register</b> (Pages 51 - 58)  |
| <b>12.</b> | <b>Work Programme</b> (Pages 59 - 62)   |

Catherine Whitehead  
Proper Officer  
8 November 2022

**Audit and Governance Committee Members:**

Councillor Cecile Irving-Swift (Chair)                      Councillor Charles Manners (Vice-Chair)

Councillor Jamal Alwahabi                                      Councillor John Shephard  
Councillor Rosie Humphreys                                  Councillor Stephen Clarke  
Councillor Jake Roberts    Councillor Danielle Stone  
Councillor Alan Chantler

**Information about this Agenda**

**Apologies for Absence**

Apologies for absence and the appointment of substitute Members should be notified to [democraticservices@westnorthants.gov.uk](mailto:democraticservices@westnorthants.gov.uk) prior to the start of the meeting.

**Declarations of Interest**

Members are asked to declare interests at item 2 on the agenda or if arriving after the start of the meeting, at the start of the relevant agenda item

### **Supplementary Estimates**

Members are reminded that any member who is two months in arrears with Council Tax must declare that fact and may speak but not vote on any decision which involves budget setting, extending or agreeing contracts or incurring expenditure not provided for in the agreed budget for a given year and could affect calculations on the level of Council Tax.

### **Evacuation Procedure**

If a continuous fire alarm sounds you must evacuate the building via the nearest available fire exit. Members and visitors should proceed to the assembly area as directed by Democratic Services staff and await further instructions.

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### **Mobile Phones**

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### **Queries Regarding this Agenda**

If you have any queries about this agenda please contact Sofia Neal-Gonzalez, Democratic Services via the following:

Email: [democraticservices@westnorthants.gov.uk](mailto:democraticservices@westnorthants.gov.uk)

Or by writing to:

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